

Survival benefit of radiotherapy on patients with early-stage extranodal nasal-type natural killer/T-cell lymphoma: an analysis of the surveillance epidemiology and end results database

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ABSTRACT

Background: Extranodal natural killer/T-cell lymphoma (ENKTL) is a rare malignant lymphoid malignancy. The survival benefit of radiotherapy (RT) in early-stage ENKTL patients remains controversial. This study was conducted to investigate the prognostic factors, and evaluate survival benefit of RT in early-stage ENKTL patients.

Materials and Methods: Early-stage ENKTL patients between 2004 and 2013 were searched from the Surveillance Epidemiology and End Results (SEER) database. Clinical characteristics including sex, age at diagnosis, race, marital status, era of diagnosis, histology, Ann Arbor stage and RT were summarized. Kaplan–Meier and multivariate Cox proportional hazards regression analysis were performed to investigate the independent prognostic factors for early-stage ENKTL patients. Subgroup analysis was conducted to evaluate the benefit of RT on overall survival (OS) and cancer specific survival (CSS) based on different Ann Arbor stages.

Results: Patients with early-stage ENKTL were more likely to be younger, present with B symptoms. RT was more likely used for younger patients and those presented with B symptoms. Kaplan–Meier and multivariate Cox regression analysis showed that Ann Arbors stage and RT were the independent prognostic factors for survival ($p < 0.05$). And RT was associated with a lower risk of mortality for OS and CSS. Subgroup analysis based on different Ann Arbor stages showed that RT can decrease 66% risks of mortality in Stage I and more than 60% risks of mortality in Stage II ($p < 0.005$).

Conclusions: RT could contribute to significant survival benefit among early-stage ENKTL patients. Significant survival benefit of RT still remained in any disease stage.

INTRODUCTION

Extranodal nasal-type natural killer/T-cell lymphoma (ENKTL) is a rare subtype of non-Hodgkin's lymphoma, which is prevalently distributed in East Asia and South America [1, 2]. ENKTL occurs predominantly in the nasal cavity and nasal side area and the disease is highly aggressive, is not sensitive to chemotherapy and has poor prognosis. The 5-year overall survival (OS) ranges from 40% to 90% for early-stage patients [3–7].

ENKTL is closely connected with the Epstein–Barr virus (EBV) infection, which assists in the diagnosis of ENKTL [8]. The characteristic surface markers of NK/T cells and the specific genetic mutations can also contribute to its diagnosis. Due to unique biological behavior and various clinical manifestations [9], ENKTL has been recognized as a novel classification of lymphoid neoplasms by the World Health Organization [10].

Although several studies have explored the treatment of ENKTL in recent years, the optimal treatment

is still unclear [11]. Currently, radiotherapy (RT) is the most widely used treatment for patients with early-stage ENKTL. Depressingly, the clinical efficacy of RT reported was controversial [6, 9, 12–15]. Few prospectively designed, randomized and controlled clinical trials have been conducted to explore the survival benefit of RT for early-stage ENKTL patients and current evidence mainly relies on retrospective studies and series case reports with small samples. Additionally, most of them were conducted in Asian countries [6, 9, 12–14, 16–20]. In view of above, we conducted a population-based analysis of patients with early-stage ENKTL from the Surveillance, Epidemiology, and End Results (SEER) database to evaluate the survival benefit associated with RT and investigate the prognostic factors for early-stage ENKTL patients.

RESULTS

The baseline of patient characteristics

A total of 348 patients with Stage I and II ENKTL from 2004 to 2013 were found eligible for our analysis, including 221 (63.51%) patients in RT group and 127 (36.49%) in no-RT group. Demographic and pathologic information are summarized in Table 1. A total of 123 (35.34%) patients were over 60 years old ($p = 0.025$) and 232 (66.67%) of them were male ($p = 0.665$). For patients younger than 60 years old or presented with B symptoms, they were more likely to receive RT ($p < 0.05$). The patients in two groups showed no significant variances with regards to other baseline characteristics including sex, race, marital status, era of diagnosis, histology and Ann Arbor stage.

Kaplan–Meier analysis for the effect of clinical characteristics on OS and CSS

Kaplan–Meier analysis was performed to calculate the OS and CSS of the early-stage ENKTL patients (Table 2). The OS (Figure 1) and CSS (Figure 2) were significantly longer in RT group than that in no-RT group (log-rank test $p < 0.001$), which was in favor of RT. What's more, the median OS of RT group (93.0 months, 95% CI: 56.0–NA months) was much longer than that of no-RT group (10.0 months, 95% CI: 7.0–22.0 months). Ann Arbor stage was significantly associated with OS (Figure 3) and CSS (Figure 4). Sex, age at diagnosis, race, marital status, era of diagnosis, histology and B symptoms were found no significant associations with survival ($p > 0.05$).

Multivariate Cox proportional hazards regression analysis for identification of prognostic factors in patients with early-stage ENKTL

When we adjusted all clinical factors in the multivariate analysis, Ann Arbor stage and RT were recognized as independent prognostic factors for OS and

CSS among early-stage ENKTL patients (Table 3). In the terms of OS, the mortality risks of the patients in RT group decreased more than 60% compared with that in no-RT group (HR = 0.39, 95% CI 0.28–0.54, $p < 0.001$). For CSS, RT was still identified as a protective factor with a much lower hazards of mortality (HR = 0.40, 95% CI 0.28–0.58, $p < 0.001$). Ann Arbor stage was also an independent prognostic factor but negatively associated with OS and CSS.

Subgroup analysis of the effect of RT on OS and CSS based on Ann Arbor stage

Previous studies have well demonstrated that Ann Arbor stage is an independent prognostic factor for ENKTL patients [9, 17, 21, 22]. Thus, in order to further explore the effect of RT on OS and CSS, we conducted a subgroup analysis based on different Ann Arbor stages. Results were summarized in Table 4. Interestingly, RT could significantly improve OS and CSS in Stage I (OS, HR: 0.34, 95% CI: 0.22–0.51, $p < 0.001$; CSS, HR: 0.38, 95% CI: 0.23–0.60, $p < 0.001$, respectively) and Stage II (OS, HR: 0.37, 95% CI: 0.20–0.67, $p = 0.001$; CSS, HR: 0.31, 95% CI: 0.16–0.60, $p < 0.001$, respectively).

DISCUSSION

Extranodal natural killer/T-cell lymphoma, nasal type (ENKTL) is rare, aggressive and poor prognostic. The disease is relatively common in Asia and South America but rare in Western countries. And that results in few large sample clinical trials of ENKTL. To date, there has been no standard treatment available for ENKTL. The current treatment includes chemotherapy, RT alone, chemotherapy after RT, RT after chemotherapy or concurrent chemoradiotherapy [19, 23, 24]. Available evidence suggests that RT is preferred for early-stage ENKTL patients. Li et al. [25] reported that RT alone could significantly improve the survival outcomes of patients with Stage I ENKTL. In their study, the complete response was 95.4% (83/87) and partial response 2.3% (2/87). For all patients, the 5-year OS of 80%, progression-free survival of 69%, and local control of 93% were observed. More recently, Yang et al. [26] conduct a large-scale retrospective analysis of Stage I ENKTL patients and they are all given high-dose extended-field RT with a median radiation dose of 50 Gy (range, 20–65 Gy). The overall response to RT arrived to 97.7% (85/87) patients in a short time, recommending 50 Gy as the optimal dose for patients with early-stage disease.

However, due to the rarity of ENKTL and few large sample randomized controlled trials, the role of RT is still not well defined. Fortunately, the Surveillance, Epidemiology, and End Results (SEER) Program has been established and the relevant information of cancer patients is collected from large numbers of cancer registries which

Table 1: Baseline characteristics according to RT and no-RT group

Characteristic	Total (%)	RT (%)	no-RT (%)	<i>p</i> value
	348 (100)	221 (63.51)	127 (36.49)	
Sex				0.665
Female	116 (33.33)	76 (21.84)	40 (11.49)	
Male	232 (66.67)	145 (41.67)	87 (25.00)	
Age at diagnosis				0.025
< 60	225 (64.66)	153 (43.97)	72 (20.69)	
> = 60	123 (35.34)	68 (19.54)	55 (15.80)	
Race				0.118
White	246 (70.69)	160 (45.98)	86 (24.71)	
Black	23 (6.61)	10 (2.87)	13 (3.7)	
Asian	79 (22.70)	51 (14.66)	28 (8.05)	
Marital status				0.242
Married	210 (60.34)	139 (39.94)	71 (20.40)	
Unmarried	138 (39.66)	82 (16.09)	56 (23.56)	
Era of diagnosis				0.451
2004–2008	162 (46.55)	99 (28.45)	63 (18.10)	
2009–2013	186 (53.45)	122 (35.06)	64 (18.39)	
Histology				0.200
NK cell	240 (68.97)	153 (43.97)	87 (25.00)	
T cell	90 (25.86)	60 (17.24)	30 (8.62)	
Other/unknown	18 (5.17)	8 (2.30)	10 (2.87)	
Ann Arbor stage				0.983
I	240 (68.97)	153 (43.97)	87 (25.00)	
II	108 (31.03)	68 (19.54)	40 (11.49)	
B symptoms				0.003
Present	191 (54.89)	133 (38.22)	58 (16.67)	
Absent	97 (27.87)	61 (17.53)	36 (10.34)	
Unknown	60 (17.24)	27 (7.76)	33 (9.48)	

SEER 2004–2013 (*n* = 348).

covers 9,0468 population, accounting for 28% in the United States . With large clinical information of cancer patients, it is a favorable tool to investigate rare carcinoma. In this study, we used SEER database to retrospectively evaluate the survival benefit of RT on patients with early-stage ENKTL. Since great advancements have been achieved in modern radiotherapy and the applications of rituximab in the last decade for lymphoma [27], we restricted the era of diagnosis from 2004 to 2013 to lower the risk of potential confusion. The characteristics of ENKTL patients used in our study included sex, age at diagnosis, race, marital status, era of diagnosis, histology, Ann Arbor stage, B symptoms and RT. We found RT was more likely to be used in patients younger than 60 years old and those presented with B symptoms. Kaplan–Meier

analysis showed that OS was associated with Ann Arbors stage and RT (*p* < 0.05), but not associated with sex, age at diagnosis, race, era of diagnosis, histology and B symptoms (*p* > 0.05). It was consistent with the previous literature reports [12, 21, 22, 28–32]. Multivariate Cox regression analysis revealed that Ann Arbor stage and RT were both significantly associated with OS and CSS (*p* < 0.05). Because RT contributed to significant survival benefits for both OS and CSS, we illustrated OS in the following discussion. Patients had a higher risks of mortality in Stage II (HR 1.63, 95% CI 1.17–2.27, *p* = 0.004) compared with those in Stage I. Interestingly, no significant mortality risks difference were observed in age at diagnosis, era of diagnosis and histology (*p* > 0.05). This may be associated with the rapid progression, quick

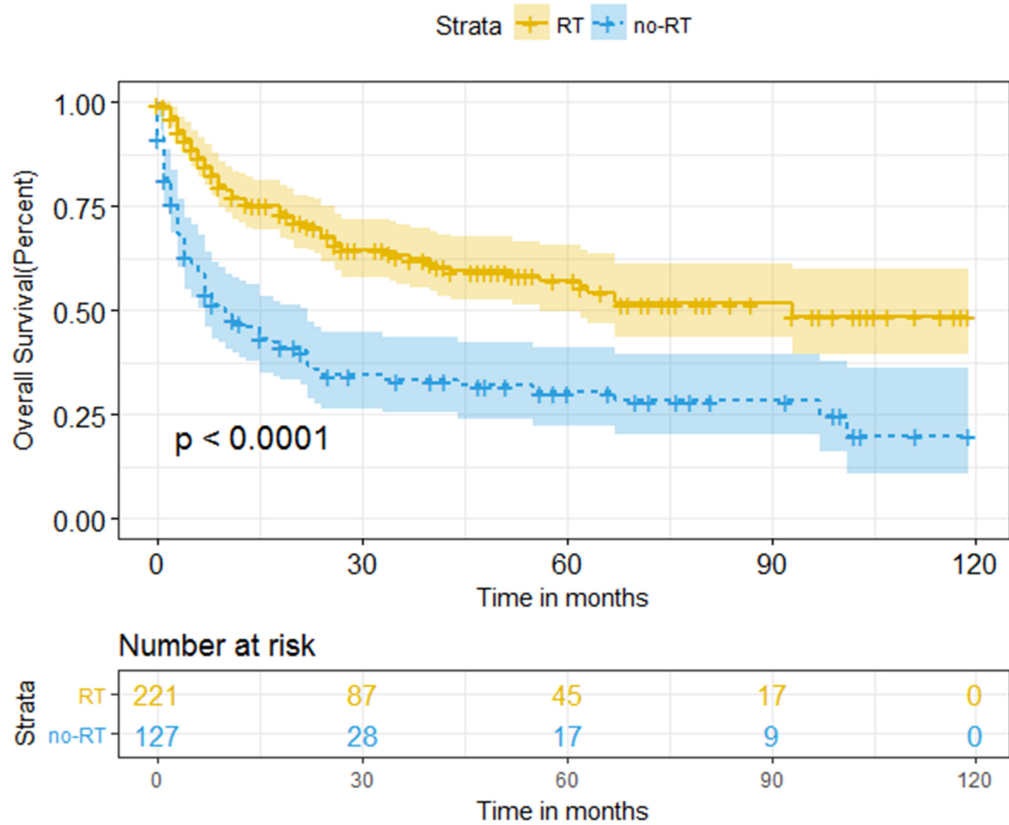


Figure 1: Kaplan–Meier curves of the overall survival in patients according to RT and no-RT.

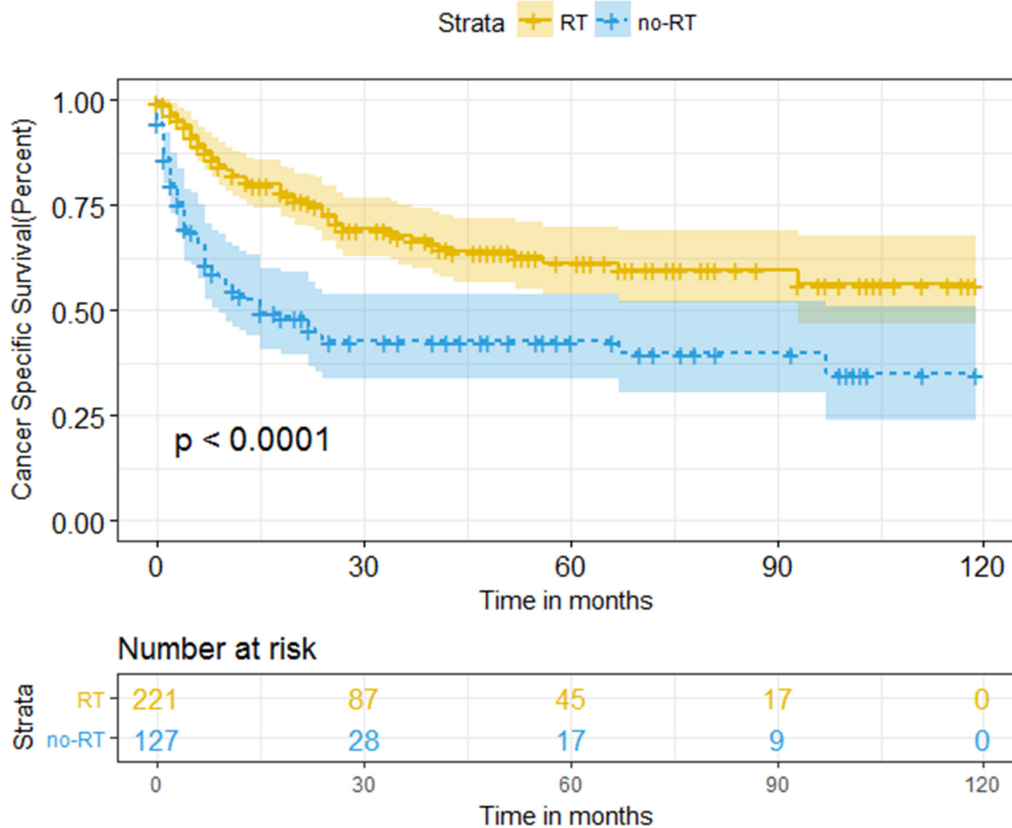


Figure 2: Kaplan–Meier curves of the cancer specific survival in patients according to RT and no-RT.

Table 2: Kaplan–Meier analysis and multivariate cox proportional hazards regression analysis of OS for early-stage NKTL patients

Variables	Univariate analysis		Multivariate analysis		
	Log rank χ^2	<i>p</i> value	HR	95% CI	<i>p</i> value
Sex	0.9	0.333			
Female			Reference		
Male			1.08	0.77–1.53	0.621
Age at diagnosis	2.9	0.086			
< 60			Reference		
≥ 60			1.04	0.96–1.58	0.039
Race	0.5	0.767			
White			Reference		
Black			0.89	0.48–1.67	0.727
Asian			0.89	0.61–1.30	0.552
Marital status	0	0.987			
Married			Reference		
Unmarried			1.01	0.72–1.42	0.959
Era of diagnosis	1.7	0.195			
2004–2008			Reference		
2009–2013			0.80	0.56–1.15	0.234
Histology	1.3	0.528			
NK cell			Reference		
T cell			1.06	0.73–1.54	0.753
Other/unknown			1.01	0.52–1.95	0.989
Ann Arbor stage	9.4	0.002			
I			Reference		
II			1.63	1.17–2.27	0.004
B symptoms	7.2	0.536			
Absent			Reference		
Present			1.09	0.73–1.61	0.659
Unknown			1.01	0.64–1.57	0.987
Radiotherapy, RT	37.7	< 0.001			
No RT			Reference		
Yes			0.39	0.28–0.54	< 0.001

Abbreviations: HR = hazard ratio; CI = confidence interval. SEER 2004–2013 (*n* = 348).

recurrence and poor prognosis of ENKTL. Results of subgroup analysis indicated that RT can decrease 72.1% risks of mortality in Stage I (HR 0.34, 95% CI 0.22–0.51, *p* < 0.001), and more than 60% risks of mortality in Stage II (HR 0.37, 95% CI 0.20–0.67, *p* < 0.001).

Though the present study was large population-based, the results should be interpreted with caution since it had several limitations. First, SEER-18 cohort database does not have information about RT dosage, the timing of

RT, and side effects of RT, which limits us to investigate the definite advantage of RT irrespective of disease-specific chemotherapies or better supportive care. Second, RT group had a higher proportion of younger patients than the older (43.97% vs 19.54%, *p* = 0.025). Generally, the younger may maintain good physical condition with less comorbidities, which may well contribute to better OS and CSS [33]. Third, the SEER-18 cohort database lacks the prognostic information (IPI score, EBV-DNA, Ki-67,

Table 3: Kaplan–Meier analysis and multivariate cox proportional hazards regression analysis of CSS for early-stage NKTL patients

Variables	Kaplan–Meier analysis		Multivariate analysis		
	Log rank χ^2	<i>p</i> value	HR	95% CI	<i>p</i> value
Sex	0.9	0.333			
Female			Reference		
Male			1.01	0.69–1.48	0.951
Age at diagnosis	0.5	0.482			
< 60			Reference		
> = 60			1.11	0.75–1.66	0.594
Race	2.8	0.249			
White			Reference		
Black			1.19	0.62–2.33	0.595
Asian			1.1	0.73–1.67	0.637
Marital status	1	0.307			
Married			Reference		
Unmarried			0.77	0.52–1.14	0.195
Era of diagnosis	2.1	0.146			
2004–2008			Reference		
2009–2013			0.87	0.56–1.36	0.518
Histology	4.6	0.103			
NK cell			Reference		
T cell			1.27	0.84–1.93	0.261
Other/unknown			1.24	0.61–2.53	0.543
Ann Arbor Stage	8.8	0.003			
I			Reference		
II			1.67	1.16–2.42	0.006
B symptoms	0.1	0.949			
Absent			Reference		
Present			0.87	0.56–1.36	0.659
Unknown			0.81	0.49–1.36	0.987
Radiotherapy, RT	26.2	< 0.001			
No RT			Reference		
Yes			0.4	0.28–0.58	< 0.001

Abbreviations: HR = hazard ratio; CI = confidence interval. SEER 2004–2013 (*n* = 348).

lactate dehydrogenase (LDH) and so on), which are well documented independent prognostic factors for survival outcome [34, 35]. For example, the EBV-DNA copy numbers are associated with tumor burden and can predict independently the outcomes of ENKTL patients [8]. Finally, the present research had its inherent limitations and several bias since it was a retrospective study. Further prospective studies are needed to explore in depth. Despite the potential limitations, there were better OS and CSS in

ENKTL patients who underwent RT, which was enough to demonstrate the survival benefit of RT in early-stage ENKTL patients.

One should be noted that chemotherapy regimens were not brought into the survival analysis in our study. It was as a direct result of missing chemotherapy records in the SEER-18 cohort database. However, as we mentioned previously, ENKTL is an uncommon disease and its chemotherapy regimens still remain controversial.

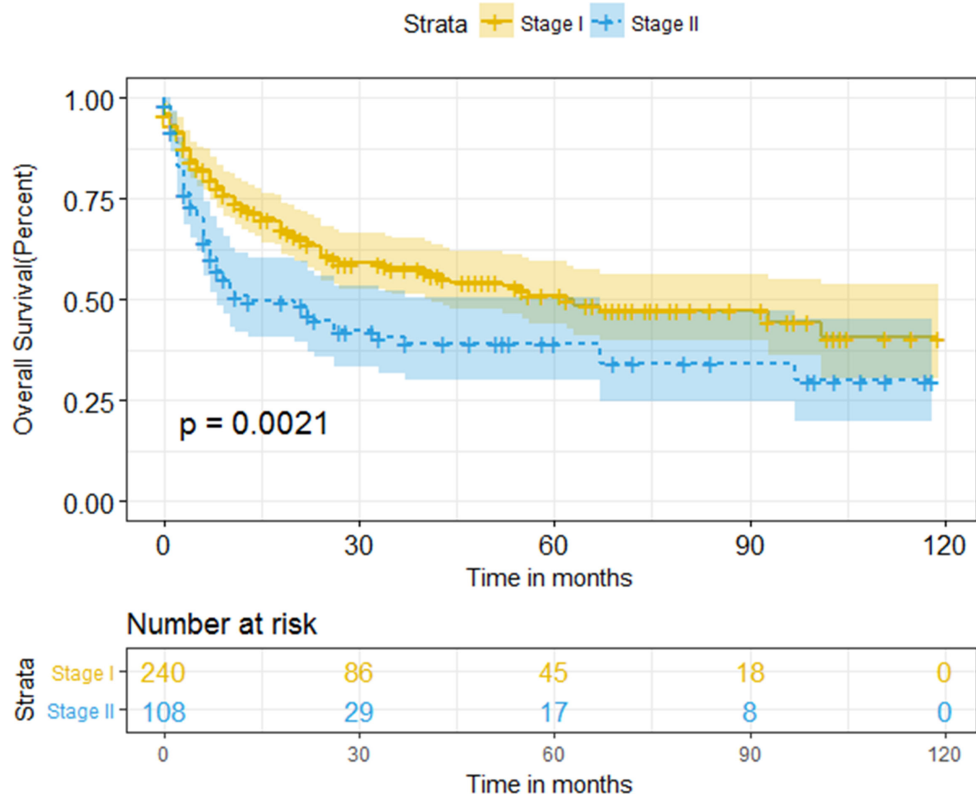


Figure 3: Kaplan–Meier curves of the overall survival in patients according to Ann Arbor stage.

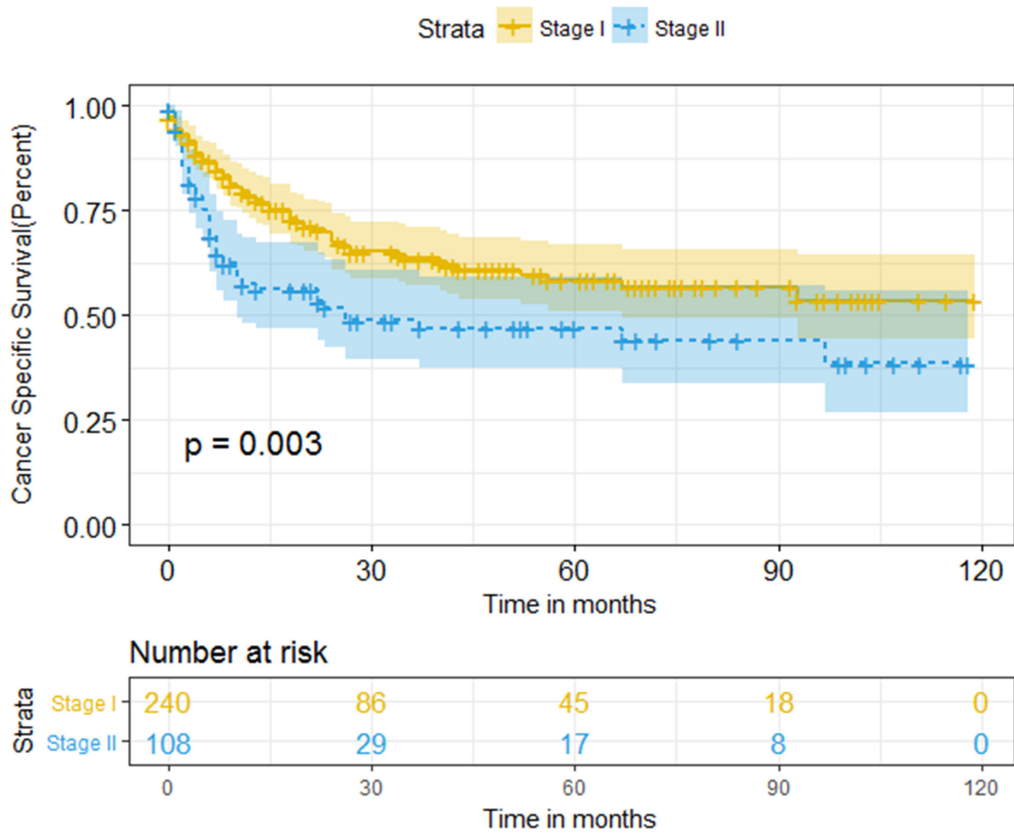


Figure 4: Kaplan–Meier curves of the cancer specific survival in patients according to Ann Arbor stage.

Table 4: Multivariate analysis of RT on overall and cancer specific survival based on Ann Arbor stage

Characteristic	OS HR (95% CI)	<i>p</i> value	CSS HR(95% CI)	<i>p</i> value
Stage I				
no-RT	Reference		Reference	
RT	0.34(0.22–0.51)	< 0.001	0.38 (0.23–0.60)	< 0.001
Stage II				
no-RT	Reference		Reference	
RT	0.37(0.20–0.67)	0.001	0.31 (0.16–0.60)	< 0.001

Abbreviations: HR = hazard ratio; CI = confidence interval.

For the last decades, no effective novel drug has been available [36], and the anthracycline-based chemotherapy regimens that have high similarity are recommended for most ENKTL patients [37, 38]. Furthermore, the addition of chemotherapy to RT does not change the survival outcomes of patients with early-stage ENKTL [24, 25, 39–44]. Hence, we have reasons to assume that lack of chemotherapy information has little impact on the final conclusions of our study.

In conclusion, RT could contribute to significant survival benefit among early-stage ENKTL patients. Ann Arbor stage and RT were both independent prognostic factors for early-stage ENKTL patients. Ann Arbor stage was negatively associated with OS and CSS. RT was positively associated with OS and CSS. Subgroup analysis showed that survival benefit of RT still remained in any disease stage.

MATERIALS AND METHODS

Data source and study variables

The clinical data was extracted from SEER-18 cohort database [Incidence -SEER 18 Regs Research Data + Hurricane Katrina Impacted Louisiana Cases, Nov 2015 Sub (1973–2013 varying)] via the SEER*Stat 8.0.4 software (National Cancer Institute, Bethesda, MD) [45]. Because information on the B symptoms of lymphoma was not available until 2004, we restricted our study between 2004–2013. Referring to the International Classification of Diseases for Oncology, 3rd edition codes, we identify the ENKTL patients (9719/3). Next, we categorized patients into several cohorts based on sex (female, male), age at diagnosis (< 60 years old, ≥ 60 years old), race (white, black, Asian), marital status (married, unmarried), era of diagnosis (2004–2008, 2009–2013), histology (NK cell, T cell, other/unknown), B symptoms (absence, presence, unknown) and stage at diagnosis (stage I, stage II).

Statistical analysis

The statistical analysis was performed with R software (version 3.3.2). Baseline characteristics of patients were analyzed with Chi-squared test. Survival analysis was

performed with Kaplan–Meier analysis and multivariable Cox proportional hazards regression analysis were conducted to evaluate whether clinical factors were associated with OS and CSS, respectively. The clinical factors contained sex, age at diagnosis, race, marital status, era of diagnosis, histology, Ann Arbor stage, B symptoms, and radiotherapy. Finally, subgroup analyses via Cox proportional hazards regression model were conducted based on different disease stages. All *p*-values were two-sided and the *p* < 0.05 was considered statistically significant.

Author contributions

Shi-long Zhang, Zhi-ming Wang and Jian-min Xu conceived and designed the study. Shi-long Zhang, Zhi-ming Wang and Yi-feng Sun performed the analysis and wrote the main manuscript. Shi-long Zhang prepared the figures and tables. All of the authors reviewed the manuscript.

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CONFLICTS OF INTEREST

The authors declare that they have no conflicts of interest concerning this article.

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